NEW YORK STATE | Liquor Authority

APPLICATION FOR APPROVAL OF CORPORATE CHANGE

This form is to be used by a corporate licensee to apply for permission to make a corporate change involving (1) change of officers or directors, LLC Members, etc., or (2) any change in ownership interest of existing principals, or (3) any change in ownership interest which would increase the holdings of any one officer, director, LLC Member or stockholder to 10% or more of the licensee.

Such change cannot become effective under the Alcoholic Beverage Control Law until permission has been granted by the State Liquor Authority. Therefore, it is recommended that any change be made conditional upon approval by the State Liquor Authority.

Section A

License ID: 1114905 | County: New York | Telephone #: [redacted]

Full Name of Licensee as listed on the License: Lupes East LA Kitchen,Inc.

Trade Name (DBA) as listed on the License Certificate: Lupes East LA Kitchen,Inc.

Complete Address of Licensed Premises including Zip: 110 Sixth Avenue NewYork N,Y 10013

Post Office/Mailing Address, if different than premises:

FEIN#: 13-3434461 | Business Website: lupeskitchen.com

Business Email Address (required): [redacted]

Name of Contact: | ○ Attorney ○ Representative ◉ Contact

Office Address:

City: | State: | Zip Code:

Telephone Number of Office (Include Area Code):

E-mail Address (required):

Submit a completed Notice of Appearance

Section B

Change Requested: *Check all that apply*

- ☒ New stockholding(s)/stockholder(s).
- ☒ The removal of officer(s)/director(s)/member(s).
- ☒ Appointment of new officer(s)/director(s)/member(s).
- ☐ Death of officer(s)/director(s)/member(s)/stockholder(s).

1. How was the interest acquired in the Corporation/LLC? Purchase

2. Are there any other licenses held in New York under this corporation/LLC? If yes, list all license ID numbers. N/A

Section C — Identification of Individuals

Part 1. Current Approved Corporate Set-Up

List below the names of all LLC members/managers, officers, directors and individual stockholders that are *currently licensed* to hold an interest in the subject license. *Attach additional sheets if necessary.*

Name	Current Title(s)	Current % of Interest	Current Number of Shares
David Seixas	President	50	50
Nancy Suzane Bount	Vice President	50	50

Part 2. Proposed Corporate Set-Up

List below the names of all LLC members/managers, officers, directors and individual stockholders who will own 10% of more in the subject license upon approval of this corporate change. You must account for 100% of the ownership and you must disclose any percentage of ownership not held by the individuals on this form, confirming no individual owns 10% or more of the remaining interest. *Attach additional sheets if necessary.*

Name	Proposed Title(s)	Proposed % of Interest	Proposed # of Shares	Check if New
David Seixas	President	50	50	☐
Nancy Suzane Bount	Shareholder	30	30	☐
Miguel Hernandez	Vice President	20	20	☒
				☐
				☐
				☐
				☐

All parties listed in Part 2 and are NEW to the corporation/LLC must complete a Personal Questionnaire as well as submit an Applicant Statement, an original color photo, and a photo ID for themselves. The forms are available for download on our web site at: www.sla.ny.gov

ALL NEW APPLICANT PRINCIPALS WILL BE REQUIRED TO BE FINGERPRINTED ELECTRONICALLY

***NOTE:** Persons CURRENTLY licensed by the State Liquor Authority do not have to be fingerprinted.* Each applicant principal that is required to be fingerprinted will be instructed to do so on the application Filing Receipt once the application is received by the Authority. Fingerprinting instructions are available on the Filing Receipt or on our website, www.sla.ny.gov.

Section D

The licensee represents that there have been no changes other than those set forth herein, in any of the facts required to be set forth in the application for license, and agrees that any application filed by it or by any of its officers, directors or stockholders, for any license or permit under the Alcoholic Beverage Control Law, and the occupation record submitted herewith, shall be deemed and made a part hereof and considered by the Authority in acting upon this Application for Approval of Corporate Change.

I/We, the Applicant(s) also certify that all papers filed in support of this application or any application filed under the Alcoholic Beverage Control Law by any person having an interest, direct or indirect, either in the business to be licensed or any license or permit shall be deemed a part hereof and considered by the State Liquor Authority in acting upon this application.

I/We understand that the information I/we submit will be relied on by the State Liquor Authority in acting on this application. I/we understand that any false statements or misrepresentations shall constitute sufficient cause for the disapproval of the applications and/or revocation, cancellation or non-renewal of any license which is issued or affected as a result of such application.

I/We verify that all of the above statements are true. If any of the above information changes prior to receipt of the license or approval of the corporate change, I/we will notify the Authority by registered or certified mail within 48 hours. If any changes occur after the issuance of the license or approval applied for, I/we understand that failure to give the required notice may constitute a violation of Section 110 of the Alcoholic Beverage Control Law and/or Rule 36.1(j) of the State Liquor Authority and will result in proceedings to revoke, cancel or suspend such license.

I/We, the Applicant(s) certify that there are no financial transactions involved concerning the license applied for EXCEPT as described herein.

I/We acknowledge that the licensed premises is bound by the Method of Operation previously approved by the New York State Liquor Authority.

David Seixas	certifies that he is	President
(Print Name)		**(Title)**

of the above named licensee; that he knows the contents of the above application and the statements and answers therein; that the same are true of his own knowledge that he has been authorized by order of the Board of Directors of said licensee to make the statements and answers therein in behalf of said licensee with the same force and effort as if said licensee made such statements and answers itself.

☑ *By checking this box I agree, and it is my intent, to electronically sign this document. By submitting this e-document to the New York State Liquor Authority in this way, I understand that my electronic signature I added to the signature line below is the legal equivalent of having placed my handwritten signature and affirmation on the submitted document and I am affirming the truth of the information contained therein.*

03/17/26	DSeixas
Dated	**(Signature of Currently Authorized Officer)**

Miguel Hernandez	certifies that he is to be	Vice President
(Print Name)		**(Title)**

of the above named licensee; that he knows the contents of the above application and the statements and answers therein; that the same are true of his own knowledge.

☑ *By checking this box I agree, and it is my intent, to electronically sign this document. By submitting this e-document to the New York State Liquor Authority in this way, I understand that my electronic signature I added to the signature line below is the legal equivalent of having placed my handwritten signature and affirmation on the submitted document and I am affirming the truth of the information contained therein.*

03/17/26	Miguel Hernandez
Dated	**(Signature of Proposed Authorized Officer)**

OFFICE USE ONLY:

Approved or Disapproved	**License Board Member**	**Dated**