

APPLICATION FOR APPROVAL OF CORPORATE CHANGE

This form is to be used by a corporate licensee to apply for permission to make a corporate change involving (1) change of officers or directors, LLC Members, etc., or (2) where there are fewer than 10 stockholders, any change in stock-holdings, or (3) where there are 10 or more stockholders, any change involving 10% or more of the stock or any change in stock-holdings which would increase the holdings of any one stockholder to 10% or more of the stock.

Such change cannot become effective under the Alcoholic Beverage Control Law until permission has been granted by the State Liquor Authority. Therefore, it is recommended that any change be made conditional upon approval by the State Liquor Authority.

Section A

Serial Number [redacted] County NEW YORK Telephone # (646) 590-1390
Full Name of Licensee as listed on the License 20X HOSPITALITY LLC
Trade Name (DBA) as listed on the License Certificate SPICY MOON VEGAN SZECHUAN
Complete Address of Licensed Premises including Zip 68 W 3RD ST, NEW YORK, NY 10012
Post Office/Mailing Address, if different than premises [redacted]
FEIN# [redacted] Business Website SPICYMOONNYC.COM
Business Email Address (required) SPICYMOONWEST@GMAIL.COM

Name of Contact ABC LICENSE - SAM PARK
Office Address [redacted]
City [redacted] State [redacted] Zip Code [redacted]
Telephone Number of Office (Include Area Code) (718) 939-1400
E-mail Address (if available) ABCLICENSE@GMAIL.COM

Submit a completed Notice of Appearance

Section B

Change Requested: Check all that apply

- Appointment of new stockholding(s)/stockholder(s)
The removal of officer(s)/director(s)/member(s)
Appointment of new officer(s)/director(s)/member(s)
Death of officer(s)/director(s)/member(s)/stockholder(s)

1. How was the interest acquired in the Corporation/LLC? STOCK PURCHASE AGREEMENT
2. Are there any other licenses held in New York under this corporation/LLC? If yes, list all serial numbers. NO

Section C

Identification of Individuals

Part 1. Current Approved Corporate Set-Up

List below the names of all LLC members/managers, officers, directors and individual stockholders, that are *currently licensed* to hold an interest in the subject license, *attach additional sheets if necessary*.

Name	Current Title(s)	Current % of Interest	Current Number of Shares
JUNE KWAN	LLC MEMBER	80%	
WIKI WANG	LLC MEMBER	20%	

Part 2. Proposed Corporate Set-Up

List below the names of all LLC members/managers, officers, directors and individual stockholders, who will have an interest in the subject license upon approval of this corporate change. You must account for 100% of the ownership. *Attach additional sheets if necessary*.

Name	Proposed Title(s)	Proposed % of Interest	Proposed # of Shares	Check if New
JUNE KWAN	LLC MEMBER	100%		<input type="checkbox"/>
				<input type="checkbox"/>
				<input type="checkbox"/>
				<input type="checkbox"/>
				<input type="checkbox"/>
				<input type="checkbox"/>
				<input type="checkbox"/>

All parties listed in Part 2 and are NEW to the corporation/LLC must complete a Personal Questionnaire as well as submit an original color photo, photo ID and proof of citizenship for themselves. The forms are available for download on our web site at: www.sla.ny.gov

ALL NEW APPLICANT PRINCIPALS WILL BE REQUIRED TO BE FINGERPRINTED ELECTRONICALLY

NOTE: Persons CURRENTLY licensed by the State Liquor Authority do not have to be fingerprinted. Each applicant principal that is required to be fingerprinted will be instructed to do so on the application Filing Receipt once the application is received by the Authority. Fingerprinting instructions are available on the Filing Receipt or on our website, www.sla.ny.gov.

Section D

The licensee represents that there have been no changes other than those set forth herein, in any of the facts required to be set forth in the application for license, and agrees that any application filed by it or by any of its officers, directors or stockholders, for any license or permit under the Alcoholic Beverage Control Law, and the occupation record submitted herewith, shall be deemed and made a part hereof and considered by the Authority in acting upon this Application for Approval of Corporate Change.

I/We, the Applicant(s) also certify that all papers filed in support of this application or any application filed under the Alcoholic Beverage Control Law by any person having an interest, direct or indirect, either in the business to be licensed or any license or permit shall be deemed a part hereof and considered by the State Liquor Authority in acting upon this application.

I/We understand that the information I/we submit will be relied on by the State Liquor Authority in acting on this application. I/we understand that any false statements or misrepresentations shall constitute sufficient cause for the disapproval of the applications and/or revocation, cancellation or non-renewal of any license which is issued or affected as a result of such application.

I/We verify that all of the above statements are true. If any of the above information changes prior to receipt of the license or approval of the corporate change, I/we will notify the Authority by registered or certified mail within 48 hours. If any changes occur after the issuance of the license or approval applied for, I/we understand that failure to give the required notice may constitute a violation of Section 110 of the Alcoholic Beverage Control Law and/or Rule 36.1(j) of the State Liquor Authority and will result in proceedings to revoke, cancel or suspend such license.

I/We, the Applicant(s) certify that there are no financial transactions involved concerning the license applied for EXCEPT as described herein.

I/We acknowledge that the licensed premises is bound by the Method of Operation previously approved by the New York State Liquor Authority.

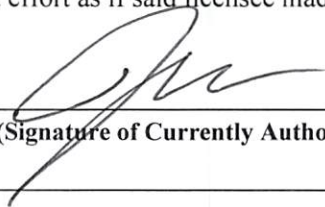
JUNE KWAN
(Print Name)

certifies that he is

LLC MEMBER
(Title)

of the above named licensee; that he knows the contents of the above application and the statements and answers therein; that the same are true of his own knowledge that he has been authorized by order of the Board of Directors of said licensee to make the statements and answers therein in behalf of said licensee with the same force and effort as if said licensee made such statements and answers itself.

12/01/2023
Dated


(Signature of Currently Authorized Officer)

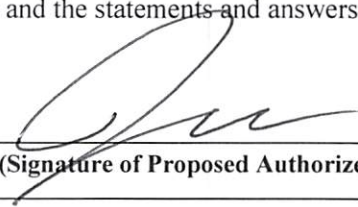
JUNE KWAN
(Print Name)

certifies that he is to be

LLC MEMBER
(Title)

of the above named licensee; that he knows the contents of the above application and the statements and answers therein; that the same are true of his own knowledge.

12/01/2023
Dated


(Signature of Proposed Authorized Officer)

OFFICE USE ONLY:

Approved or Disapproved

License Board Member

Dated

STATEMENT OF FINANCES
FOR CORPORATE CHANGE

State the total amount of money you are investing in the Purchase of the Stock.

TOTAL DOLLAR AMOUNT FOR STOCK.INTEREST PURCHASE	\$	<input type="text"/>
MISCELLANEOUS EXPENSES	\$	<input type="text"/>
TOTAL INVESTMENT	\$	<input type="text"/>

BREAKDOWN OF TOTAL FOR PURCHASE OF STOCK

Total Cash	\$	<input type="text"/>
Total Deferred	\$	0 <input type="text"/>

Explain Cash:

Explain Deferred: N/A

REAL PROPERTY PURCHASE IF APPLICABLE

Total Cash	\$	<input type="text"/>
Total Deferred	\$	<input type="text"/>

Explain Cash:

Explain Deferred:

NOTE: For the purpose of this form, CASH is defined as money that you have in your possession that you don't have to pay back and is verifiable (example: stocks, bonds, CD's, savings accounts, etc.).

For the purpose of this form, DEFERRED is defined as money that you have to pay back at some point in time (example: loan, mortgage, line of credit, credit card, note, etc.).

1. Set forth the source of funds for this investment (accounts, loans, gifts, asset sales, etc.) and enter account numbers. (Provide documentation)
2. Set forth all repayment terms for any deferred monies. (Provide documentation)
3. Lease agreement if required.

OFFICE USE ONLY		
<input type="radio"/> Original	<input type="radio"/> Amended	Date _____

STATE OF NEW YORK NOTICE OF APPEARANCE

Section 166 of the Executive Law requires a regulatory agency to maintain for public inspection, a record of who appears before it, for a fee as a third party (e.g., an attorney, an agent, lobbyist*, or representative) on behalf of a person or organization subject to the regulatory jurisdiction of the agency. This usually occurs when the third party's client is involved in an enforcement, formal permit, or application matter. *This form is subject to all the rules and regulations of the Freedom of Information Law. Information that is confidential as a matter of law need not be furnished.*

Agency: NYS Liquor Authority / Division of Alcoholic Beverage Control

Date: 12/01/2023

Division / Bureau: LICENSING BUREAU

1. Name of individual appearing: ABC LICENSE - SAM PARK

Address: [REDACTED]

Telephone: (718) 939-1400

E-mail: ABCLICENSE@GMAIL.COM

2. Client represented: [REDACTED]

Address: 68 W 3RD ST, NEW YORK, NY 10012

Telephone: (646) 590-1390

3. Subject of appearance: Regulatory / Enforcement Lobbying

CORPORATE CHANGE APPL

4. Acting in the capacity of: Attorney Lobbyist Agent Other (describe below)

Description: REPRESENTATIVE

5. Are you being compensated: Yes No

If YES, Check FEE or SALARY: FEE SALARY

6. Signature of individual appearing:

7. Agency official (printed name):

Signature: _____

*A LOBBYIST is a person or organization, other than a New York State government employee acting in an official capacity, who appears for the purpose of influencing the adoption or rejection of proposed rules, regulations, rates, legislation, including the State budget or the specification or award of a State Procurement Contract. An "appearance" for lobbying purposes can be a personal visit, letter, telephone call, conversation at a meeting, or any other type of contact, but does not include "on the record" proceedings or hearings.